



Attorney's Docket No.: 06666-040001 / USC2920

1635  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Amy S. Lee                          Art Unit : 1635  
Serial No. : 09/606,804                          Examiner : Brian Whiteman  
Filed : June 28, 2000  
Title : STRESS-RESPONSIVE INDUCTION OF A THERAPEUTIC AGENT AND  
METHODS OF USE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed after a final Office action, but before payment of the issue fee. Each item of information in this statement (i) was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated February 17, 2004, which is not more than three months prior to the filing of this statement, and (ii) was not first cited in any communication from a foreign patent office in a counterpart application which

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was more than three months prior to the filing date of this statement. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 4/27/04



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Michael Reed  
Reg. No. 45,647

Fish & Richardson P.C.  
PTO Customer No. 20985  
12390 El Camino Real  
San Diego, California 92130  
Telephone: (858) 678-5070  
Facsimile: (858) 678-5099  
10390010.doc

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06666-040001	Application No. 09/606,804
<b>Information Disclosure Statement</b> by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Amy S. Lee	
		Filing Date June 28, 2000	Group Art Unit 1635

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AQ	Kyu Seong Kim et al., "Expression of the Glucose-Regulated Proteins (GRP94 and GRP78) in Differentiated and Undifferentiated Mouse Embryonic Cells and the Use of the GRP78 promoter as an expression system in embryonic cells" Differentiation, Springer Verlag, DE, Vol. 42, No. 3, February 1990 (1990-02) pages 153-159
	AR	Roy B et al., "The Mammalian Endoplasmic Reticulum Stress Response Element Consists of an Evolutionarily conserved tripartite structure and interacts with a novel stress-inducible complex" Nucleic Acids Research, Oxford University Press, Surrey, Great Britain, vol. 27, no. 6, 1999, pages 1437-1443

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



Sheet 2 of 2

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		Filing Date June 28, 2000	Group Art Unit 1635

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AS	Yoshida H et al., "Identification of the CIS-Acting Endoplasmic Reticulum Stress Response Element Responsible for Transcriptional Induction of Mammalian Glucose-Regulated Proteins" Journal of Biological Chemistry, American Society of Biological Chemists, Baltimore, MD, vol. 273, No. 50, 1998, pages 33741-33749
	AT	

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